Building the Foundation for Innovation
an Overview of the
Digital Object Architecture

By Robert E. Kahn
Corporation for National Research Initiatives
Reston, Virginia  USA

A presentation at The African Internet Governance Forum - AfIGF2016

Durban, South Africa
October 16, 2016
Outline of the Talk

• **Internet Objectives and Evolution**
  – Protocols to enable connectivity between networks and computers
  – Social Structures to guide its evolution

• **Managing Digital Information in the Internet**
  – The Digital Object Architecture
  – Near-term Applications
  – Preservation & long term access

• **Guiding the Infrastructure Evolution**
  – The DONA Foundation
  – Empowering the technical community
Bindings to Technology vs. Information

- Arpanet – 16 bit addresses → wires
- Internet – 32 bit IP addresses → machines
- Web - URLs → <IP Address/filename>
- Digital Object Architecture
  - Describes a means of managing information over both short and long time frames
  - Digital Objects are the basic structures
  - includes a resolution component that resolves identifiers to “state information” about the desired information
  - such as access means, multiple locations, authentication, public keys, and terms and conditions for use.
Fundamental properties of the Digital Object Architecture

• It is based on the same architectural ideas that are embedded in the Internet’s architecture and which have sustained its evolution, the two most important of which are:
  – Open Architecture (defined protocols & interfaces)
  – Independence from the underlying technology
  – Integrated in the Internet
General Characteristics of the Digital Object Architecture

• Basic starting point is the concept of a “Digital Object” (DO) defined as a set of bits, or set of sequences of bits, having an associated unique persistent identifier; and one or more DOs may be integrated as a single operational entity.

• Describes the management of DOs with an “open architecture” approach,” and supports direct interaction with DOs using identifiers.
Components of the DOA

• Identifier/resolution mechanism, known as the Handle System

• DO Repositories store DOs and enable access by means of identifiers

• DO Registries store metadata records about DOs and enable them to be found by searching.

• Registries use Repositories; Repositories require registries.
CORDRA

• An integration of the DO Repository and DO Registry components

• Available for download from cordra.org in one of two modes:
  – Experimental mode to evaluate the technology where CNRI provides both the prefix to use for identification of DOs and also provides the handle resolution service
  – Regular mode where the party downloading the software must make separate arrangements to obtain a prefix and the handle resolution service
Digital Object Architecture: Information Management on Networks

Client

Resource Discovery

Repositories

Identifier Resolution Service

Search Engines, Metadata Databases, Catalogues, Registries, etc.
Manifesting the Information (by the user)

• **Proprietary data formats** – need to preserve programs that can interpret the formats, and the environments for running those programs.

• **Open data formats** – need to preserve software interpreters for those formats and the environments for running them.

• **Information Structure Descriptions** – need to maintain resolvable type information to recreate the original data structure so that it can be processed with current technology.
Framework for Discovery
ITU-T Recommendation X.1255


• Focused specifically on discovery and access to information in digital form, X.1255 is applicable to operational requirements for information management more generally.

• For purposes of X.1255, a digital object is defined as a digital entity; and the Recommendation describes a data model and interface protocol.
The Handle System ®

- A basic identifier/resolution system for the Internet.
  - Resolves a digital object’s identifier to that object’s current state information
  - Identifier persists when location and other attributes of the object changes.
- Logically a single system, but physically and organizationally distributed; it is highly scalable
- Associates one or more typed values, e.g., IP address, public key, URL, metadata, to each identifier.
- Secure resolution and administration using an integrated PKI capability as an option; optimized for speed and reliability.
- Open, well-defined protocol and data model, IPR free.
- Provides infrastructure for a wide range of application domains, e.g., digital libraries, network management, service discovery, and IoT.

Handle System is a trademark of DONA Foundation
Structure of the Handle System

- System currently consists of a Global Handle Registry (GHR) and many distributed local handle services
  - Each service responsible for defined portion of the identifier space
  - The GHR is distributed and scalable; each local handle service can itself be distributed – and may be separately branded
- Resolution returns a handle record containing type/value pairs
  - Typing is itself scalable; handles are used as type identifiers
  - No limit on number and length of type/value pairs
- Each handle record may provide specification for processing the digital object
- Supports distributed handle administration in the Internet
- Handle System Protocol runs over UDP, TCP, or HTTP
- System is compatible with IPv4 and IPv6
What is a Handle?

Handles (or more generically “digital object identifiers”) are globally unique and resolvable

- Prefixes are allotted to local handle service providers and most prefix handle records are currently stored in the Global Handle Registry (GHR).
- A handle prefix is typically resolvable by the GHR to an IP address for a handle resolution service such as an organization providing local handle services.
- The full handle is resolvable by the handle resolution service into set of typed values.

- Character Set: Unicode 2.0
- Encoding: UTF-8
- Prefix: Currently allotting only numeric values.
- Suffix: No restrictions.
### Handles Resolve to Typed Data

<table>
<thead>
<tr>
<th>Handle</th>
<th>Data Type</th>
<th>Handle Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>35.1525/b.2009.59.5.9</td>
<td>HS_ADMIN</td>
<td>handle=0.na/35.1525; index=200; [delete hdl, add val, read val, modify val, del admin, add admin, list]</td>
</tr>
<tr>
<td></td>
<td>URL</td>
<td><a href="http://www.caliber.net/abs/35.1525/2009.59.5.9">http://www.caliber.net/abs/35.1525/2009.59.5.9</a></td>
</tr>
<tr>
<td></td>
<td>35.TYPE/DEVICE</td>
<td>35.1/1.2.3</td>
</tr>
</tbody>
</table>
|                         | 10320/loc   | <location id="1" cr_type="MR-LIST" href="http://www.acme.org/iPage?doi=35.1525%2Fbio.20.5.9" weight="1" />
|                         |             | <location id="2" cr_src="unca" label="SECONDARY_BIOONE" cr_type="MR-LIST" href="http://www.bioone.org/doi/full/35.1525/ bio.2009.59.5.9" weight="0" /> </locations> |
| Processing              | Instructions go here! |

Data Types are also resolvable handles and can be specific to:
- The Handle System (*)
  - HS_ADMIN
  - HS_PUBKEY
  - HS_SIGNATURE
  - URL etc...
- An application or service
  - 10320/loc
- A group/community
- A device type

Types should be identified with a handle and resolve to a type description.

(*) Handle System types are registered as handles starting with the “0.TYPE/” prefix. (URL -> 0.TYPE/URL)
What is Metadata

• People commonly define metadata as “data about data”
• A more complete definition:
  – Metadata is a set of (structured) assertions about an entity/resource
  – Multiple parties may make those assertions
  – Veracity of those assertions is usually outside the scope of metadata
• Those assertions could be about

  **Identity**
  - what is the resource called?

  **Provenance**
  - who created the resource?

  **Access**
  - who views and admins the resource?

  **Description**
  - what is the resource about?
  - How to interpret it?

  **Technical**
  - in what stage is the lifecycle?

  **Structure & Representation**
  - how is the resource formatted and encoded?
Digital Object Interface Protocol

- Allows access to the entire object, or parts of it (each DO consists of multiple elements)
- Some elements may be DOs by themselves or contain identifiers for other DOs
- Enables global interoperability of repositories with security
- Assumes that state information about resources, users, and organizations are represented as digital objects and may be referenced by their unique persistent identifiers
DO Interface Protocol

Digital Object Interface Protocol (DOIP) connects to the Logical External Interface. Some DOs contain desired data or information, while others contain metadata.
Who is responsible for operating the GHR?

• The original GHR was operated by CNRI in Reston, VA in the US since the mid to late 1990s.
• Until recently, CNRI had the sole credential and authorization to create all new prefixes.
• CNRI decided to further enhance and develop the GHR architecture to enable multiple organizations to coordinate and administer the GHR on a multi-primary basis under the overall administration of the DONA Foundation.
• The current GHR maintains backwards compatibility with all legacy handle prefixes.
DONA Foundation

- Non-profit organization established January 2014 in Geneva, Switzerland
- Currently 9 members of the Board of Directors
- Provides overall administration of the Global Handle Registry (GHR), will support relevant standards and outreach activities, including pilot projects, to increase awareness of the DOA
- Currently, five organizations have signed MPA Service Agreements; and two others have been designated as MPAs.
- Approximately 12 MPAs are anticipated by 2018.
Each MPA operates independently of the others; and each mirrors the others’ one-delimiter prefix handle records up to an initial limit.
Concluding Remarks

• For real-time communications, information as to how best to process a digital object is useful
• Context may be needed to support interoperability across heterogeneous information systems
• System interoperability is critical, and also requires security for more wide-spread application
• Some level of abstraction is necessary for long-lived systems, since the underlying technologies will surely change over time
• The Digital Object Architecture is an effective choice to satisfy these objectives