IANA Stewardship Transition &
Enhancing ICANN Accountability
What are the IANA Functions?

The IANA Functions evolved in support of the Internet Engineering Task Force, and initially funded via research projects supported by the U. S. Department of Defense, Advance Research Projects Agency.

ICANN was created to perform the IANA Functions and has done so pursuant to a no-cost contract with the Department of Commerce for over 15 years.

These functions include:
- The coordination of the assignment of technical Internet protocol parameters
- The administration of certain responsibilities associated with Internet DNS Root zone management
- The allocation of Internet IP addresses
NTIA has stated that the transition proposal must have broad community support and address the following four principles:

- Support and enhance the multistakeholder model
- Maintain the security, stability and resiliency of the Internet DNS
- Meet the needs and expectations of the global customers and partners of the IANA services
- Maintain the openness of the Internet

NTIA also specified that it will not accept a proposal that replaces the NTIA role with a government-led or intergovernmental organization solution.
The community developed and is following two parallel processes:

**IANA Stewardship Transition**
Focused on delivering a proposal to transition the stewardship of the IANA functions to the multistakeholder community

**Enhancing ICANN Accountability**
Focused on ensuring that ICANN remains accountable in the absence of its historical contractual relationship with the U.S. Government

To drive the processes, the community created multilayered, transparent and diverse working groups to foster discussion and within those groups, has developed working methods and systems for determining consensus.
An Unprecedented Multistakeholder Effort

Major Working Group Efforts

- 800+ Working Hours in Meetings
- 33,100+ Total Mailing List Exchanges
- 600+ Total Calls/Meetings

A Supporting Global Discussion

More than 1,100 Events around the world where the IANA transition was discussed, debated, organized and planned

Between March 2014 and March 2016

500+ Webinars

ICG + CCWG Accountability

<table>
<thead>
<tr>
<th>ICG</th>
<th>CCWG-Accountability</th>
</tr>
</thead>
<tbody>
<tr>
<td>30 Members</td>
<td>203 Total Participants</td>
</tr>
<tr>
<td>15 Members</td>
<td>28 Members</td>
</tr>
<tr>
<td>Number Resources CRISP Team</td>
<td>175 Participants</td>
</tr>
<tr>
<td>15 Members</td>
<td>111 Mailing List Observers</td>
</tr>
<tr>
<td>Protocol Parameters</td>
<td>2,250 Mailing List Exchanges</td>
</tr>
<tr>
<td>Domain Names CCWG Stewardship</td>
<td>656 Names</td>
</tr>
<tr>
<td>153 Members &amp; Participants</td>
<td>30 DCs</td>
</tr>
<tr>
<td>152 Calls and Meetings</td>
<td>33 ALCs</td>
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</tbody>
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Regional Representation

- North America: 31%
- Europe: 28%
- Latin America/Caribbean: 26%
- Asia/Pacific: 17%
- Africa: 10%
- All Others: 8%

Organizational Stakeholder Representation

- IAB (59)
- ASO (30)
- ALCs (17)
- ccTLD Registrars (16)
- LACNIC (6)
- AFRINIC (2)
- ARIN (2)
- AFRINIC (2)
- APNIC (1)
- LACNIC (1)

THE TWO PARALLEL PROCESSES

- IANA Stewardship Transition
- Enhancing ICANN Accountability for the Transition

ICANN Board

Cross Community Working Group

Global Discussion

ICANN Board

NTIA Announcement 4 March 2014

Cross Community Working Group

Globally Available

The Cross CCWG Stewardship Final proposal was transmitted to NTIA in Jan.

For more information, please visit: www.icann.org/working-accountability
Delivery of IANA Stewardship Transition Package

At ICANN55 in Marrakech, the IANA Stewardship Transition and Enhancing ICANN Accountability proposals were submitted to the ICANN Board.

- After launching a short review period, the ICANN Board issued resolutions to transmit both proposals to NTIA at their Board Meeting at the end of the conference.
- The package of proposals was transmitted to NTIA on 10 March 2016, almost exactly 2 years after the transition was announced.
Proposal Overview

Current Contract

Post-transition

ICANN

ICANN

IANA

IANA

ICANN

IANA

IANA TESTERSHIP

IANA FUNCTIONS
OPERATOR

NTIA

Oversight

Contract

IANA FUNCTION
REVIEW

SPECIAL IFR

IFR

IANA FUNCTION
REVIEW

CUSTOMER
STANDING
COMMITTEE

INITIAL SERVICE ISSUES
OR COMPLAINTS

SECONDARY SERVICE
ISSUES OR COMPLAINTS
(ESCALATION PATH)

CUSTOMERS
On October 1, 2016

• The contract between ICANN and the United States National Telecommunications and Information Administration (NTIA), to perform the Internet Assigned Numbers Authority (IANA) functions, has officially expired.

• This will have no impact on end users of the Internet; they will not see any changes or difference in their use of the Internet.

• At ICANN, it’s business as usual. We are committed to our mission and Bylaws, reflecting the Transition proposals, which came into effect when the contract expired.

• We are pleased to be a part of the global multistakeholder model of Internet governance and want to congratulate the ICANN Community on their hard work over the past two and a half years to get us where we are today.
A Look Ahead: Phase 3
The CCWG-Accountability identified four building blocks that would form the mechanisms required to improve ICANN’s accountability.

1. **The Principles**
   - **Bylaws**
     - Changes to existing
     - Some become fundamental
     - Adding: New mechanisms, AOC Reviews, Human Rights

2. **Independent Appeals & Review Mechanisms**
   - Improved IRP

3. **ICANN Board of Directors**

4. **Empowered ICANN Community**
   - 7 Community Powers
Principles / Mission

- Limited scope of what ICANN can do; no “content regulation”
- Updated definition of role for Domain Names, Numbering Resources and Protocol Parameters
- Incorporate Affirmation of Commitment (AoC) reviews
- Commitment to Human Rights
Independent Appeals & Review Mechanisms

- An enhanced Independent Review Process and redress process with a broader scope and the power to ensure ICANN stays within its Mission
- A community Independent Review Process as an enforcement mechanism further to a Board action or inaction
ICANN Board of Directors

- Clarify Board obligations with regards to consideration of Advice provided by the Governmental Advisory Committee (GAC)
- Include the review of Supporting Organization and Advisory Committee accountability mechanisms in the independent structural reviews performed on a regular basis
Empowered ICANN Community

- Powers exercised by five groups within the ICANN community, recognized as a “Sole Designator”
- Strong focus on Engagement and Escalation, before Enforcement
Committing to Further Accountability Work in Work Stream 2

- As part of Work Stream 2, the CCWG-Accountability proposes that further enhancements be made to a number of designated mechanisms and processes and to refine the operational details associated with some of its recommendations for Work Stream 1.

- It is intended that Work Stream 2 will be completed by the end of 2016.
Questions?

Thank You and Questions
Website: https://www.icann.org/stewardship-accountability

IANA Stewardship Transition
https://www.icann.org/stewardship
- Latest news and information on the IANA Stewardship Transition and ICG
- Community participation information
- Resources and archives from ICG meetings

Enhancing ICANN Accountability
https://community.icann.org/category/accountability
- Latest news and information on the Enhancing ICANN Accountability process and CCWG
- Announcements and upcoming events